IAP15 Rec'd PCT/PTO 07 AUG 2006

FORM PTO-1390 (REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

11197/16

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/588652

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED:

PCT/JP2005/001795

08 February 2005

10 February 2004

TITLE OF INVENTION

POLYESTER POLYMERIZATION CATALYST, POLYESTER PRODUCED THEREWITH, AND PROCESS FOR PRODUCING THE POLYESTER

APPLICANT(S) FOR DO/EO/US

KAGEYAMA, Katsuhiko; FUNAKI, Kenichi; FUCHIKAMI, Masaki; NAKAGAWA, Satoru; KATAYOSE, Kazuo; NAKAJIMA, Takahiro; WATANABE, Naoki; YOSHIDA, Fumikazu; ATSUCHI, Tetsumori; GYOBU, Shoichi; MATSUMOTO, Haruo; MURASHIGE, Ryuichi; MARUYAMA, Gaku; AKITOMO, Yoshiko; SHIMIZU, Hideki

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. 🗹 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. 🗆 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. The US has been elected (Article 31).
- 5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau).
 - b. \(\square\) has been communicated by the International Bureau.
 - c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. Dis attached hereto.
 - b. □ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. 🗹 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a \square are attached hereto (required only if not communicated by the International Bureau).
 - b. Dhave been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d. I have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. 🗆 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. 🗹 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. 🗹 A preliminary amendment.
- 14. 🗆 An Application Data Sheet under 37 CFR 1.76.
- 15. 🗆 A substitute specification.
- 16. 🗆 A power of attorney and/or change of address letter.
- 17. 🗆 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- 18. 🗆 A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. 🗆 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. 🗹 Other items or information: INTERNATIONAL SEARCH REPORT, COVER PAGE OF PUBLISHED PCT **APPLICATION**

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| U.S. APPLICATION CFR 1.5) | ON NO. (ii | known, see 37 | INTERNATIONAL APPL | ICATION NO | ATTORNEY'S DOC | CKET NUMBER |
|--|---|--|--|--|--------------------------------------|---|
| 10/588652 | | | PCT/JP2005/001795 | | 11197/16 | |
| 21. The following | ng fees a | re submitted: | | | | |
| ☑ Basic National Fee\$ 300.00 | | | | | \$ 300.00 | |
| If International | | ary examinatio | n report prepared by | | | |
| | | | rovisions of PCT | \$ 100.00 | | <u> </u> |
| Article 33(1)-(4) | | | | | \$ 200.00 | |
| 23. ☑ Search Fee | | | | | | |
| Searching A | l applica uthority | tion to the USI | TO as an International | \$ 100.00 | | |
| International Se Office | - | | \$ 400.00 | | | |
| All other situation | | | | \$ 500.00 | | |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$ 900.00 | |
| | | | awings filed in paper over | | ľ | |
| (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra S | J110015 | per of each additional 50 or on thereof (round up to a | RATE | | |
| | | | e number) | | | |
| 107 | 7 | | 1 | x \$250 | \$ 250.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$ | |
| CLAIMS | | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | | 23- 20 =0 | <u> </u> | x \$50.00 | \$ 150.00 | <u> </u> |
| Independent Claims | | 6-3=3 | 3 S) (if a == line line) | x \$200.00 | \$ 600.00 | |
| MULTIPLE | EPEND | | S) (if applicable) LOF AROVE CALCI | + \$360.00 | \$ -0- \$1,900.00 | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$ -0- | |
| SUBTOTAL = | | | | | \$1,900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | \$ -0- | |
| | | | | | \$1,900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | | \$1,940.00 | |
| | | | | | Amount to be refunded: | \$ |
| | | | | | Amount to be charged | \$ |
| b. 🗹 Ple A c. 🗹 Th an | ease char duplicate se Comm y overpa | e copy of this s hissioner is here yment to Depo | Account No. 11-0600 heet is enclosed. by authorized to charge sit Account No. 11-060 | in the amount of any additional and additional and a duplicate | fees which may be copy of this sheet | r the above fees. required, or credit is enclosed. |
| | | | nit under 37 CFR 1.495 h restore the application to | | | (57 CFR 1.137(a) or |
| SEND ALL CORRESPONDENCE TO: | | | | passadia Swa: | | |
| KENYON & KENYON LLP 1500 K Street, N.W., Suite 700 | | | | \$IGNATURE (Reg. No. 48,361) | | |
| | | | | | a T. Swain, Ph.D. | |
| CUSTOMER NO. 23838 DATE: 07 Augu | | | | | st 2006 | |